

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

FIRST-NAMED INVENTOR OR      Andrzej W. Lipkowski et al.  
APPLICATION IDENTIFIER:

FOR:                                      NOVEL ANTIMICROBIAL COMPOUNDS



30623

PATENT TRADEMARK OFFICE

November 20, 2001  
Boston, Massachusetts

Box PATENT APPLICATION  
Commissioner for Patents  
Washington, D.C. 20231

**REQUEST FOR FILING A NEW NONPROVISIONAL APPLICATION  
UNDER 37 C.F.R. §1.53(b)**

1. This is a request for filing a new nonprovisional application under 37 C.F.R. §1.53(b).
2. ☒ Specification and Drawings (Total pages: 16)  
Specification (15 pages); Claims (3 pages); Abstract (1 page); and  
Drawings: 1 sheet (Fig. 1)  
☐ Formal  
☒ Informal
3. ☒ Initial Computer Readable Form Copy of the Sequence Listing (1 diskette);
4. ☒ Paper Copy of the Sequence Listing (5 pages);
5. ☒ Statement in Support of Computer Readable Form Submission (1 page);
6. ☒ Declaration and Power of Attorney  
☐ Unsigned  
☒ Signed

**FIRST-NAMED INVENTOR OR**  
**APPLICATION IDENTIFIER:** Andrzej W. Lipkowski et al.  
**Request for New Nonprovisional Application (37 C.F.R. §1.53(b))**

7. ☐ Information Disclosure Statement (IDS)  
☐ Copy of IDS and PTO-1449 (1 pages)  
☐ Copies of references cited
8. ☒ Assignment Papers  
☒ Recordation Form Cover Sheet (PTO-1595)  
☒ Assignment Document
9. ☐ Preliminary Amendment
10. ☐ Statement Claiming Small Entity Status  
☐ Claiming Small Entity As Independent Inventor (37 C.F.R. §§1.9(f) & 1.27(b)).  
☐ Claiming Small Entity As Small Business Concern (37 C.F.R. §§1.9(f) & 1.27(c)).  
☐ Claiming Small Entity As Nonprofit Organization (37 C.F.R. §§1.9(f) & 1.27(d)).

11. Fee Calculation

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 C.F.R. 1.16(a) \$740.00
Total Claims (37 C.F.R. 1.16(c))	24	- 20 =	4	\$18.00	\$72.00
Independent Claims (37 C.F.R. 1.16(b))	6	- 3 =	3	\$84.00	\$252.00
Multiple Dependent Claim(s), if any (37 C.F.R. 1.16(d))				\$280.00	0
SUBTOTAL:					\$1064.00
Reduction by 50% for filing by small entity:					- \$532.00
TOTAL FEE:					\$532.00

12. ☐ A check in the amount of \$\_\_\_\_\_ is enclosed.
13. ☒ The Commissioner is hereby authorized to charge the following fee of **\$532.00** to Deposit Account No. 50-0311, Ref. No. 18475-025 (NEMC-6):  
☐ Fees required under 37 C.F.R. §1.16;  
☐ Fees required under 37 C.F.R. §1.17;  
☐ Fees required under 37 C.F.R. §1.18.
14. ☒ Return Receipt Postcard Enclosed.

FIRST-NAMED INVENTOR OR  
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15. ☐ Other Documents Enclosed:

- ☐ Change of Attorney Address In Application.  
☐ Limited Recognition under 37 CFR § 10.9(b) for Michel Morency.

Respectfully submitted,



Dated: November 20, 2001

Ingrid A. Beattie, Esq. (Reg. No. 42,306)  
Sonia K. Guterman, Esq. (Reg. No. 44,729)  
Attorney(s) for Applicants  
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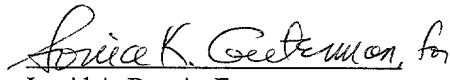
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: Lipkowski, *et al*  
SERIAL NUMBER: Not Yet Assigned  
FILING DATE: Herewith  
FOR: NOVEL ANTIMICROBIAL COMPOUNDS

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CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8(a)

The undersigned hereby certifies that this document is being placed in the United States mail with first-class postage attached, addressed to BOX PATENT APPLICATION, Commissioner for Patents, Washington, D.C. 20231, on the 20th day of November, 2001.

  
Ingrid A. Beattie, Esq.  
Registration No. 42,306

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Boston, Massachusetts

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Commissioner for Patents  
Washington, D.C. 20231

STATEMENT IN SUPPORT OF COMPUTER READABLE  
FORM SUBMISSION UNDER 37 C.F.R. § 1.821(f)

I hereby state that the content of the paper and computer readable forms of the Sequence Listing, submitted in the above-identified application in accordance with 37 C.F.R. § 1.821(c) and 1.821(e), respectively, are the same.

Respectfully submitted,

  
Ingrid A. Beattie, Esq. (Reg. No. 42,306)  
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